## COPY OF PAPERS ORIGINALLY FILED

ORIGINALLY FILED se type a plus sign (+) inside this box ---> Modified PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Derwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. TRADE **Application Number** 10/010,774 TRANSMITTAL Filing Date November 13, 2001 **FORM First Named Inventor** Baoqing YE (to be used for all correspondence after initial filing) Group Art Unit 2635 Examiner Name Not yet assigned Total Number of Pages in This Submission Attorney Docket Number Verizon-18 **ENCLOSURES** (check all that apply) **Assignment Papers** After Allowance Communication Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Postcard Receipt Extension of Time Request Address Other Enclosure(s) (please Terminal Disclaimer identify below): Express Abandonment Request Declaration and Power of Attorney and Request for Refund Assignment Recordation form. Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Michael P. Straub (Reg. No. 36,941) Individual name Signature Muchael Date March 7, 2002 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: March 7, 2002 Michael P. Straub Typed or printed name March 7, 2002 Date

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(\$) 170.00

Modified PTO/SB/17 (11-00)
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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TAL AMOUNT OF PAYMENT

Complete if Known				
Application Number	10/010,774 November 13, 2001			
Filing Date				
First Named Inventor	Baoqing YE			
Examiner Name	Not yet assigned			
Group Art Unit	2635			
Attorney Docket No.	Verizon-18			

METHOD OF PAYMENT	FEE CALCULATION (continued)				
1. X The Commissioner is hereby authorized to:	3. ADDITIONAL FEES				
Deposit 50-1049	Large Small Entity Entity				
Account Number 50-1049	Fee Fee Fee Fee Pescription	Fee Paid			
Deposit Account Straub & Pokotylo	Code (\$) Code (\$)  105 130 205 65 Surcharge - late filing fee or oath	130.00			
Name	127 50 227 25 Surcharge - late provisional filing fee or				
Charge any deficiency or credit any overpayment	cover sheet	<u> </u>			
Applicant claims small entity status. See 37 CFR 1.27	139 130 139 130 Non-English specification				
2. X Payment Enclosed:	147 2,520 147 2,520 For filing a request for ex parte reexamination	-			
Check Credit card Order Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action				
FEE CALCULATION	113 1,840° 113 1,840° Requesting publication of SIR after Examiner action				
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month				
Large Entity Small Entity	116 400 216 200 Extension for reply within second month				
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 920 217 460 Extension for reply within third month	<u> </u>			
101 740 201 370 Utility filing fee	118 1,440 218 720 Extension for reply within fourth month				
106 330 206 165 Design filing fee	128 1,960 228 980 Extension for reply within fifth month				
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal				
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal				
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing				
01/DT0741 (4) (4) 00 00	138 1,510 138 1,510 Petition to institute a public use proceeding				
SUBTOTAL(1) (\$) 00.00	140 110 240 55 Petition to revive - unavoidable				
2. EXTRA CLAIM FEES	141 1,280 241 640 Petition to revive - unintentional				
Extra Claims below Fee Paid	142 1,280 242 640 Utility issue fee (or reissue)				
Total Claims -20** = X = I	143 460 243 230 Design issue fee				
Claims	144 620 244 310 Plant issue fee				
Multiple Dependent	122 130 122 130 Petitions to the Commissioner				
Large Entity Small Entity	123 50 123 50 Processing fee under 37 CFR 1.17(q)				
Large Entity Small Entity Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure				
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)	40.00			
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection				
104 280 204 140 Multiple dependent claim, if not paid	(37 CFR § 1.129(a))				
109 84 209 42 **Reissue independent claims over original patent	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))				
**Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)				
and over original paterit	169 900 169 900 Request for expedited examination				
SUBTOTAL (2) (\$) 00.00	of a design application Other fee (specify)				
(1)	(2)	00			
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 170	.00			

SUBMITTED BY Complete (if applicable)					
Name (Print/Type)	Michael P. Straub	Registration No. (Attorney/Agent)	36,941	Telephone	(732) 335-1222
Signature	Michael Estrant			Date	March 7, 2002

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ATENT AND TRADEMARK OFFICE

COMMISSIONER FOR UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/010,774

11/13/2001

Baoqing Ye

Verizon-18

**CONFIRMATION NO. 2755** 

26479 **STRAUB & POKOTYLO** 

1 BETHANY ROAD, SUITE 83 **BUILDING 6 HAZLET, NJ 07730** 

**FORMALITIES LETTER** 

\*OC000000007301532\*

Date Mailed: 01/14/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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3/21/2002 JADD01